



INSURED DEPOSIT SWEEP ACCOUNT PRIORITY BANK LIST

Insured Deposit Sweep Account Priority Bank List - effective January 19, 2021

You should review your priority bank list carefully. If you wish to exclude any of the below named financial institutions from receiving your program deposits, please contact your financial professional. Deposits in the Insured Deposit Sweep Account are eligible for FDIC insurance coverage of up to \$2,500,000 for individual accounts. For more information about the priority bank list, please refer to the Insured Deposit Sweep Account Disclosure Statement. Deposits held in the Insured Deposit Sweep Account are not covered by the SIPC.

Intermediary Bank

BNY Mellon, New York, NY

Position	Region 1 – States AL, AR, IA, KS, KY, LA, MS, MO, NE, OK, TN	Region 2 – States IL, IN, MI, OH, WI	Region 3 – States DE, MD, NJ, PA, DC	Region 4 – States AK, CO, ID, MN, MT, ND, OR, SD, WA, WY
1	Goldman Sachs Bank USA	Goldman Sachs Bank USA	Goldman Sachs Bank USA	Goldman Sachs Bank USA
2	Morgan Stanley Bank, N.A.	Morgan Stanley Bank, N.A.	Morgan Stanley Bank, N.A.	Morgan Stanley Bank, N.A.
3	Bank of China	Western Alliance Bank	Western Alliance Bank	Bank of China
4	Valley National Bank	Valley National Bank	Valley National Bank	Valley National Bank
5	Western Alliance Bank	Bank of China	Bank of China	Western Alliance Bank
6	TCF National Bank	Flushing Bank	TCF National Bank	TCF National Bank
7	EagleBank	TCF National Bank	Flushing Bank	EagleBank
8	Bremer Bank	EagleBank	EagleBank	Bremer Bank
9	BankUnited	Bremer Bank	Bremer Bank	BankUnited
10	Truist Bank	BankUnited	BankUnited	Truist Bank
11	Pinnacle Bank	Truist Bank	Truist Bank	Pinnacle Bank
12	Bank of Baroda	Pinnacle Bank	Pinnacle Bank	Bank of Baroda
13	Capital One Bank (USA), N.A.	Bank of Baroda	Bank of Baroda	Flushing Bank
14	First Republic Bank	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
15	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	First Republic Bank
16	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Citibank, N.A.
17	Flushing Bank	Pacific Western Bank	Pacific Western Bank	Wells Fargo Bank, N.A.
18	Pacific Western Bank	First Republic Bank	First Republic Bank	Pacific Western Bank
19	American Express National Bank	American Express National Bank	American Express National Bank	American Express National Bank
20	Bank of India	Bank of India	Bank of India	Bank of India
21	PNC Bank	PNC Bank	PNC Bank	PNC Bank
22	First Horizon Bank	First Horizon Bank	First Horizon Bank	First Horizon Bank
23	New York Community Bank	New York Community Bank	New York Community Bank	New York Community Bank
24	BBVA USA	BBVA USA	BBVA USA	BBVA USA
25	The Huntington National Bank	The Huntington National Bank	The Huntington National Bank	The Huntington National Bank
26	Morgan Stanley Private Bank, N.A.	Morgan Stanley Private Bank, N.A.	Morgan Stanley Private Bank, N.A.	Morgan Stanley Private Bank, N.A.
27	The Bank of East Asia Ltd.	The Bank of East Asia Ltd.	The Bank of East Asia Ltd.	The Bank of East Asia Ltd.
28	Cadence Bank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.
29	BNY Mellon, N.A.	BNY Mellon, N.A.	BNY Mellon, N.A.	BNY Mellon, N.A.
30	Simmons Bank	Simmons Bank	Simmons Bank	Simmons Bank
31	Sandy Spring Bank	Sandy Spring Bank	Sandy Spring Bank	Sandy Spring Bank
32	Bryn Mawr Trust Co.	Bryn Mawr Trust Co.	Bryn Mawr Trust Co.	Bryn Mawr Trust Co.
33	Century Bank and Trust	Century Bank and Trust	Century Bank and Trust	Century Bank and Trust
34	WEX Bank	WEX Bank	WEX Bank	WEX Bank
35	Union Bank and Trust	Union Bank and Trust	Union Bank and Trust	Union Bank and Trust
36	Progress Bank and Trust	Progress Bank and Trust	Progress Bank and Trust	Progress Bank and Trust
37	First County Bank	First County Bank	First County Bank	First County Bank
38	Bank of New Hampshire	Bank of New Hampshire	Bank of New Hampshire	Bank of New Hampshire
39	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust
40	Towne Bank	Towne Bank	Towne Bank	Towne Bank
Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.

Position	Region 5 – States	Region 6 – States	Region 7 – States	Region 8 – States
	CT, ME, MA, NH, NY, RI, VT	FL, GA, NC, SC, VA, WV	AZ, NV, NM, TX, UT	CA, HI, Other (including territories, etc.)
1	Goldman Sachs Bank USA	Goldman Sachs Bank USA	Goldman Sachs Bank USA	Goldman Sachs Bank USA
2	Morgan Stanley Bank, N.A.	Morgan Stanley Bank, N.A.	Morgan Stanley Bank, N.A.	Morgan Stanley Bank, N.A.
3	Western Alliance Bank	Bank of China	Bank of China	Bank of China
4	Valley National Bank	Valley National Bank	Valley National Bank	Valley National Bank
5	Bank of China	Western Alliance Bank	Western Alliance Bank	Western Alliance Bank
6	TCF National Bank	TCF National Bank	TCF National Bank	TCF National Bank
7	EagleBank	EagleBank	Flushing Bank	EagleBank
8	Flushing Bank	Bremer Bank	EagleBank	Bremer Bank
9	Bremer Bank	BankUnited	Bremer Bank	BankUnited
10	BankUnited	Flushing Bank	BankUnited	Truist Bank
11	Truist Bank	Truist Bank	Truist Bank	Pinnacle Bank
12	Pinnacle Bank	Pinnacle Bank	Pinnacle Bank	Flushing Bank
13	Bank of Baroda	Bank of Baroda	Bank of Baroda	Bank of Baroda
14	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
15	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
16	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
17	First Republic Bank	Pacific Western Bank	Pacific Western Bank	Pacific Western Bank
18	Pacific Western Bank	First Republic Bank	First Republic Bank	First Republic Bank
19	American Express National Bank	American Express National Bank	American Express National Bank	American Express National Bank
20	Bank of India	Bank of India	Bank of India	Bank of India
21	PNC Bank	PNC Bank	PNC Bank	PNC Bank
22	First Horizon Bank	Sandy Spring Bank	First Horizon Bank	First Horizon Bank
23	New York Community Bank	First Horizon Bank	New York Community Bank	New York Community Bank
24	BBVA USA	New York Community Bank	BBVA USA	BBVA USA
25	The Huntington National Bank	BBVA USA	The Huntington National Bank	The Huntington National Bank
26	Morgan Stanley Private Bank, N.A.	The Huntington National Bank	Morgan Stanley Private Bank, N.A.	Morgan Stanley Private Bank, N.A.
27	The Bank of East Asia Ltd.	Morgan Stanley Private Bank, N.A.	The Bank of East Asia Ltd.	The Bank of East Asia Ltd.
28	Cadence Bank, N.A.	The Bank of East Asia Ltd.	Cadence Bank, N.A.	Cadence Bank, N.A.
29	BNY Mellon, N.A.	Cadence Bank, N.A.	BNY Mellon, N.A.	BNY Mellon, N.A.
30	Simmons Bank	BNY Mellon, N.A.	Simmons Bank	Simmons Bank
31	Sandy Spring Bank	Simmons Bank	Sandy Spring Bank	Sandy Spring Bank
32	Bryn Mawr Trust Co.	Bryn Mawr Trust Co.	Bryn Mawr Trust Co.	Bryn Mawr Trust Co.
33	Century Bank and Trust	Century Bank and Trust	Century Bank and Trust	Century Bank and Trust
34	WEX Bank	WEX Bank	WEX Bank	WEX Bank
35	Union Bank and Trust	Union Bank and Trust	Union Bank and Trust	Union Bank and Trust
36	Progress Bank and Trust	Progress Bank and Trust	Progress Bank and Trust	Progress Bank and Trust
37	First County Bank	First County Bank	First County Bank	First County Bank
38	Bank of New Hampshire	Bank of New Hampshire	Bank of New Hampshire	Bank of New Hampshire
39	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust	Enterprise Bank & Trust
40	Towne Bank	Towne Bank	Towne Bank	Towne Bank
Position	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.	Capital One Bank (USA), N.A.
2	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
3	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.

Securities offered through Cetera Financial Specialists LLC (doing insurance business in CA as CFGFS Insurance Agency), member FINRA/SIPC. Advisory services offered through Cetera Investment Advisers LLC.