### Fee Schedule

**INSUFFICIENT FUNDS** (Check or ACH) .......................... $25
**STOP PAYMENT ON CHECKS** ........................................ $20
**COPIES OF CANCELLED CHECKS** ............................. $10
**OVERNIGHT CHECK REQUEST** ....................................... $20
*Does not reflect Saturday delivery charge.*
**PHYSICAL PAPER STATEMENT** ................................. $3/QUARTER
  *Waived with eDelivery of documents. Sign up online.*
**WIRE TRANSFERS** (applies to all outgoing wire transfers) ...... $25
**REORGANIZATIONS**
  *Street Name Positions*
  - Voluntary Transactions ........................................... $50
  - Death Puts ........................................................... $100
  - Positions Represented by Physical Certificates ............... $90
**LEGAL FEES**
  *Miscellaneous legal documents for physical deposits.*
  *Examples: Corporations, POAs, Estates, Trusts, etc.* ................ $75
**PHYSICAL CERTIFICATE DEPOSIT REJECTS**
  *Fee/Rejects* .......................................................... $125
**RESTRICTED STOCK FEE**
  *Legend Removal* .................................................... $75/EVENT MIN.
**FINRA EXTENSION FEE**
  *Filing Fee Reg. - T* .................................................. $25
**BOOK ENTRY TRANSFER**
  *Issuance of Physical Certificates by Agent* ..................... $50
**ACCOUNT TRANSFERS**
  *Process of Outgoing Transfers* .................................... $135
**TRANSFER ON DEATH**
  *Distribution Fee* .................................................... $50
**RESEARCH FEE** ....................................................... $100/HR
**POSTAGE HANDLING**
  *Waived with eDelivery of documents. Sign up online.*
  *For Equity, Option and Fixed Income Transactions* ............ $5.50
**DEPOSIT AND WITHDRAWAL AT CUSTODIAN (DWAC)**
  *At Transfer Agent* .................................................. $25
**ABANDONED ACCOUNT FEE**
  *Unclaimed Property* ................................................ $50

**PHYSICAL CERTIFICATE HELD IN SAFEKEEPING**
  *Per Customer/CUSIP*
  *Annual Fee* .......................................................... $50
**ANNUAL IRA MAINTENANCE FEE**
  *With Paper Statements* .............................................. $52
  *With eDelivery* ..................................................... $40
  *The annual maintenance fee will be waived for IRAs with a market value exceeding $150,000 by November 30.*
**MUTUAL FUND ONLY IRA MAINTENANCE FEE** .................... $20
  *Existing accounts converting to Mutual Fund Only must be completed by November 30 for reduced fee.*
**IRA TERMINATION FEE** .............................................. $135
  *Accounts will be deemed to be terminated with any distribution that reduces an IRA balance to less than $200. Annual maintenance and termination fees are due upon termination. Fees due will be withheld from the distribution of the account.*
**LIMITED PARTNERSHIP HOLDING FEE (IRAs ONLY)**
  *LP Holding and 990T Filing Fee* ................................ $150
**COLLATERAL ACCOUNT FEE**
  *(applied upon collateral account approval)* ...................... $100
**FOREIGN ACCOUNT FEE** *(applied at account opening)* ...... $100
**FOREIGN POSITION CUSTODY**
  *Quarterly Fee* ...................................................... $25/POSITION
**NON PUBLICLY TRADED SECURITIES (REITs, BDC, CLOSELY HELD STOCK - IRAs ONLY)**
  *Document Review Fee* ............................................. $150
  *Purchase – Upon approval* ....................................... $50
  *Sell* ........................................................................ $50
  *Reregistration – In-kind transfer* ............................... $25
  *Safekeeping/Valuation* ............................................. $50
  *Closely held stock only*

*All fees subject to change anytime without notice.*

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