Cetera® | INVESTMENT SERVICES LLC
Member FINRA/SIPC

INSURED DEPOSIT SWEEP ACCOUNT FINANCIAL INSTITUTION EXEMPTION FORM

If you wish to exclude one or more of the financial institutions listed below, you may do so by placing a checkmark next to the financial institution name, completing the information at the bottom and returning the form to Cetera Investment Services LLC.

Account Number

PARTICIPATING FINANCIAL INSTITUTION LIST

- ☐ Bank United, Miami Lakes, FL
- ☐ Citibank, New York, NY
- ☐ Continental Bank, Salt Lake City, UT
- ☐ CTBC Bank Corp (USA), Los Angeles, CA
- ☐ Customers Bank, Phoenixville, PA
- ☐ Falcon National Bank, Foley, MN
- ☐ Fieldpoint Private Bank, Greenwich, CT
- ☐ First Guaranty Bank, Hammond, LA
- ☐ Flagstar Bank, Troy, MI
- ☐ Forbright Bank, Chevy Chase, MD
- ☐ Luana Savings Bank, Luana, IA
- ☐ Pinnacle Bank, Nashville, TN
- ☐ State Street Bank and Trust, Boston, MA
- ☐ Truist Bank, Lumberton, NC
- ☐ United Community Bank, Blairsville, GA
- ☐ Western Alliance Bank, Phoenix, AZ

Client Signature Date

Joint Client Signature Date

Additional Client Signature Date

Additional Client Signature Date

Print Financial Professional Name

This form is required *only* if you choose to eliminate a financial institution from the Insured Deposit Sweep Account.

Please submit form via field imaging/scanning or fax to 877.338.9156.

Deposits held in the Insured Deposit Sweep Account are not covered by SIPC.

Securities and insurance products are offered through Cetera Investment Services LLC (doing insurance business in CA as CFG STC Insurance Agency LLC), member FINRA/SIPC. Cetera Investment Services LLC is not affiliated with any financial institution where investment services are offered.
Investments are: *Not FDIC/NCUSIF insured *May lose value *Not financial institution guaranteed *Not a deposit *Not insured by any federal government agency.

© 2019 Cetera Investment Services LLC
23-0052 PV-648 03/23