



INVESTMENT
SERVICES LLC
Member FINRA/SIPC

INSURED DEPOSIT SWEEP ACCOUNT FINANCIAL INSTITUTION EXEMPTION FORM

If you wish to exclude one or more of the financial institutions listed below, you may do so by placing a checkmark next to the financial institution name, completing the information at the bottom and returning the form to Cetera Investment Services LLC.

Account Number

PARTICIPATING FINANCIAL INSTITUTION LIST

- | | |
|---|--|
| <input type="checkbox"/> Bank United, Miami Lakes, FL | <input type="checkbox"/> Flagstar Bank, Troy, MI |
| <input type="checkbox"/> Citibank, New York, NY | <input type="checkbox"/> Forbight Bank, Chevy Chase, MD |
| <input type="checkbox"/> Continental Bank, Salt Lake City, UT | <input type="checkbox"/> Luana Savings Bank, Luana, IA |
| <input type="checkbox"/> CTBC Bank Corp (USA), Los Angeles, CA | <input type="checkbox"/> Pinnacle Bank, Nashville, TN |
| <input type="checkbox"/> Customers Bank, Phoenixville, PA | <input type="checkbox"/> State Street Bank and Trust, Boston, MA |
| <input type="checkbox"/> Falcon National Bank, Foley, MN | <input type="checkbox"/> Truist Bank, Lumberton, NC |
| <input type="checkbox"/> Fieldpoint Private Bank, Greenwich, CT | <input type="checkbox"/> United Community Bank, Blairsville, GA |
| <input type="checkbox"/> First Guaranty Bank, Hammond, LA | <input type="checkbox"/> Western Alliance Bank, Phoenix, AZ |

Client Signature

Date

Joint Client Signature

Date

Additional Client Signature

Date

Additional Client Signature

Date

Print Financial Professional Name

This form is required *only* if you choose to eliminate a financial institution from the Insured Deposit Sweep Account.

Please submit form via field imaging/scanning or fax to 877.338.9156.

Deposits held in the Insured Deposit Sweep Account are not covered by SIPC.

Securities and insurance products are offered through Cetera Investment Services LLC (doing insurance business in CA as CFG STC Insurance Agency LLC), member FINRA/SIPC. Cetera Investment Services LLC is not affiliated with any financial institution where investment services are offered.

Investments are: *Not FDIC/NCUSIF insured *May lose value *Not financial institution guaranteed *Not a deposit *Not insured by any federal government agency.

