Cetera™ | INVESTMENT SERVICES LLC
Member FINRA/SIPC

FLEXINSURED ACCOUNT®
ACKNOWLEDGEMENT & EXEMPTION NOTICE

If you wish to exclude one or more of the Financial Institutions listed below, you may do so by placing a checkmark next to the Financial Institution name, completing the information at the bottom and returning the form to Cetera Investment Services LLC.

Account number: _____

PARTICIPATING FINANCIAL INSTITUTION LIST

- ☐ Bank United, Miami Lakes, FL
- ☐ Citibank, New York, NY
- ☐ Continental Bank, Salt Lake City, UT
- ☐ CTBC Bank Corp (USA), Los Angeles, CA
- ☐ Falcon National Bank, Foley, MN
- ☐ First Guaranty Bank, Hammond, LA
- ☐ Independent Bank, McKinney, TX
- ☐ Pinnacle Bank, Nashville, TN
- ☐ Sandy Spring Bank, Olney, MD
- ☐ Seaside National Bank, Orlando, FL
- ☐ State Bank and Trust, Defiance, OH
- ☐ State Street Bank and Trust, Boston, MA
- ☐ TriState Capital Bank, Pittsburgh, PA
- ☐ Truist Bank, Lumberton, NC
- ☐ Wells Fargo Bank, NA, Sioux Falls, SD
- ☐ Western Alliance Bank, Phoenix, AZ

I acknowledge that I will automatically be excluded from the financial institution where I obtain Cetera Investment Services' services.

Client Signature _____ Date _____

Joint Client Signature _____ Date _____

Additional Client Signature _____ Date _____

Additional Client Signature _____ Date _____

Print Financial Professional Name _____

This form is required *only* if you choose to eliminate a financial institution from the FlexInsured Account.

Please submit form via field imaging/scanning or fax to 877.338.9156.

Deposits held in the FlexInsured Account® are not covered by SIPC.

Securities and insurance products are offered through Cetera Investment Services LLC (doing insurance business in CA as CFG STC Insurance Agency LLC), member FINRA/SIPC. Advisory services are offered through Cetera Investment Advisers LLC. Neither firm is affiliated with the financial institution where investment services are offered. Advisory services are only offered by Investment Adviser Representatives. Investments are: *Not FDIC/NCUSIF insured *May lose value *Not financial institution guaranteed *Not a deposit *Not insured by any federal government agency.

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