



INSURED DEPOSIT SWEEP ACCOUNT FINANCIAL INSTITUTION EXEMPTION FORM

If you wish to exclude one or more of the financial institutions listed below, you may do so by placing a checkmark next to the financial institution name, completing the information at the bottom and returning the form to Cetera Financial Specialists LLC.

Account Number _____

PARTICIPATING FINANCIAL INSTITUTION LIST

- | | |
|--|--|
| <input type="checkbox"/> Androscoggin Bank, Lewiston, ME | <input type="checkbox"/> Independent Bank, McKinney, TX |
| <input type="checkbox"/> Associated Bank N.A., Green Bay, WI | <input type="checkbox"/> Israel Discount Bank, New York, NY |
| <input type="checkbox"/> Bank Hapoalim, New York, NY | <input type="checkbox"/> NY Community Bank, Cranford, NJ |
| <input type="checkbox"/> BB&T, Lumberton, NC | <input type="checkbox"/> Quontic Bank, Astoria, NY |
| <input type="checkbox"/> BBVA USA, Birmingham, AL | <input type="checkbox"/> Seaside National Bank, Orlando, FL |
| <input type="checkbox"/> Citibank N.A., New York, NY | <input type="checkbox"/> Simmons FN Bank, Little Rock, AR |
| <input type="checkbox"/> East West Bank, Pasadena, CA | <input type="checkbox"/> Texas Capital Bank, Dallas, TX |
| <input type="checkbox"/> Evolve Bank & Trust, Memphis, TN | <input type="checkbox"/> Third Coast Bank SSB, Humble, TX |
| <input type="checkbox"/> Farmers and Merchants, Milford, NE | <input type="checkbox"/> TriState Capital Bank, Pittsburgh, PA |
| <input type="checkbox"/> First Guaranty Bank, Hammond, LA | <input type="checkbox"/> United Bank, Glastonbury, CT |
| <input type="checkbox"/> Glacier Bank, Kalispell, MT | <input type="checkbox"/> Valley National Bank, Wayne, NJ |
| <input type="checkbox"/> HSBC Bank, McLean, VA | <input type="checkbox"/> WEX Bank, Midvale, UT |

Print Client Name

Client Signature

Date

Print Client Name

Client Signature

Date

Print Registered Representative Name

This form is required *only* if you choose to eliminate a financial institution from the Insured Deposit Sweep Account.

Fax completed form to 888.428.9904.

Deposits held in the Insured Deposit Sweep Account are not covered by SIPC.

Securities offered through Cetera Financial Specialists LLC (doing insurance business in CA as CFGFS Insurance Agency), member FINRA/SIPC. Advisory services offered through Cetera Investment Advisers LLC.

