



INSURED DEPOSIT SWEEP ACCOUNT FINANCIAL INSTITUTION EXEMPTION FORM

If you wish to exclude one or more of the financial institutions listed below, you may do so by placing a checkmark next to the financial institution name, completing the information at the bottom and returning the form to Cetera Financial Specialists LLC.

Account Number

Participating Financial Institution List

- | | |
|--|--|
| <input type="checkbox"/> American Express National Bank | <input type="checkbox"/> Flushing Bank |
| <input type="checkbox"/> Bank of Baroda | <input type="checkbox"/> Goldman Sachs Bank USA |
| <input type="checkbox"/> Bank of China | <input type="checkbox"/> Huntington National Bank |
| <input type="checkbox"/> Bank of India | <input type="checkbox"/> Morgan Stanley Private Bank, N.A. |
| <input type="checkbox"/> Bank of New Hampshire | <input type="checkbox"/> New York Community Bank |
| <input type="checkbox"/> BankUnited, N.A. | <input type="checkbox"/> Pacific Western Bank |
| <input type="checkbox"/> BNY Mellon, N.A. | <input type="checkbox"/> Progress Bank and Trust |
| <input type="checkbox"/> Bremer Bank | <input type="checkbox"/> Sandy Spring Bank |
| <input type="checkbox"/> Cadence Bank, N.A. | <input type="checkbox"/> Simmons Bank |
| <input type="checkbox"/> Capital One Bank (USA), N.A. | <input type="checkbox"/> The Bank of East Asia Ltd. |
| <input type="checkbox"/> Citibank, N.A. | <input type="checkbox"/> Truist Bank |
| <input type="checkbox"/> Deutsche Bank | <input type="checkbox"/> UBS Bank USA |
| <input type="checkbox"/> EagleBank | <input type="checkbox"/> Union Bank and Trust |
| <input type="checkbox"/> Emigrant Bank | <input type="checkbox"/> United Fidelity Bank |
| <input type="checkbox"/> Enterprise Bank & Trust | <input type="checkbox"/> Valley National Bank |
| <input type="checkbox"/> First Horizon Bank | <input type="checkbox"/> Western Alliance Bank |
| <input type="checkbox"/> First Republic Bank | <input type="checkbox"/> WEX Bank |
| <input type="checkbox"/> 1st Security Bank of Washington | |

Print Client Name

Client Signature _____ Date

Print Client Name

Client Signature _____ Date

Print Registered Representative Name

This form is required *only* if you choose to eliminate a financial institution from the Insured Deposit Sweep Account.

Fax completed form to 888.428.9904.

Deposits held in the Insured Deposit Sweep Account are not covered by SIPC.

Securities offered through Cetera Financial Specialists LLC (doing insurance business in CA as CFGFS Insurance Agency), member FINRA/SIPC. Advisory services offered through Cetera Investment Advisers LLC.

