



# INSURED DEPOSIT SWEEP ACCOUNT FINANCIAL INSTITUTION EXEMPTION FORM

If you wish to exclude one or more of the financial institutions listed below, you may do so by placing a checkmark next to the financial institution name, completing the information at the bottom and returning the form to Cetera Advisor Networks LLC.

Account Number \_\_\_\_\_

## PARTICIPATING FINANCIAL INSTITUTION LIST

- |  |  |
|--|--|
| <input type="checkbox"/> American Express Bank, Sandy, UT    | <input type="checkbox"/> Independent Bank, McKinney, TX        |
| <input type="checkbox"/> Androscoggin Bank, Lewiston, ME     | <input type="checkbox"/> Israel Discount Bank, New York, NY    |
| <input type="checkbox"/> Associated Bank N.A., Green Bay, WI | <input type="checkbox"/> NY Community Bank, Cranford, NJ       |
| <input type="checkbox"/> Bank Hapoalim, New York, NY         | <input type="checkbox"/> Plains Capital Bank, Dallas, TX       |
| <input type="checkbox"/> BBVA USA, Birmingham, AL            | <input type="checkbox"/> Quontic Bank, Astoria, NY             |
| <input type="checkbox"/> Capital One Bank, Glenn Allen, VA   | <input type="checkbox"/> Seaside National Bank, Orlando, FL    |
| <input type="checkbox"/> Citibank N.A., New York, NY         | <input type="checkbox"/> Simmons FN Bank, Little Rock, AR      |
| <input type="checkbox"/> Customers Bank, Phoenixville, PA    | <input type="checkbox"/> Stearns Bank, St. Cloud, MN           |
| <input type="checkbox"/> East West Bank, Pasadena, CA        | <input type="checkbox"/> Texas Capital Bank, Dallas, TX        |
| <input type="checkbox"/> Evolve Bank & Trust, Memphis, TN    | <input type="checkbox"/> Third Coast Bank SSB, Humble, TX      |
| <input type="checkbox"/> Farmers and Merchants, Milford, NE  | <input type="checkbox"/> TriState Capital Bank, Pittsburgh, PA |
| <input type="checkbox"/> First Guaranty Bank, Hammond, LA    | <input type="checkbox"/> Truist Bank, Lumberton, NC            |
| <input type="checkbox"/> Glacier Bank, Kalispell, MT         | <input type="checkbox"/> Valley National Bank, Wayne, NJ       |
| <input type="checkbox"/> HSBC Bank, McLean, VA               | <input type="checkbox"/> WEX Bank, Midvale, UT                 |

Print Client Name \_\_\_\_\_

Client Signature \_\_\_\_\_ Date \_\_\_\_\_

Print Client Name \_\_\_\_\_

Client Signature \_\_\_\_\_ Date \_\_\_\_\_

Print Registered Representative Name \_\_\_\_\_

**This form is required *only* if you choose to eliminate a financial institution from the Insured Deposit Sweep Account.**

**Fax completed form to 888.428.9904.**

Deposits held in the Insured Deposit Sweep Account are not covered by SIPC.

