



# INSURED DEPOSIT SWEEP ACCOUNT FINANCIAL INSTITUTION EXEMPTION FORM

If you wish to exclude one or more of the financial institutions listed below, you may do so by placing a checkmark next to the financial institution name, completing the information at the bottom and returning the form to Cetera Advisor Networks LLC.

Account Number

### Participating Financial Institution List

- |   |  |
|---|--|
| <input type="checkbox"/> American Express National Bank | <input type="checkbox"/> 1st Security Bank of Washington   |
| <input type="checkbox"/> Bank of Baroda                 | <input type="checkbox"/> Flushing Bank                     |
| <input type="checkbox"/> Bank of China                  | <input type="checkbox"/> Goldman Sachs Bank USA            |
| <input type="checkbox"/> Bank of India                  | <input type="checkbox"/> Huntington National Bank          |
| <input type="checkbox"/> Bank of New Hampshire          | <input type="checkbox"/> Morgan Stanley Private Bank, N.A. |
| <input type="checkbox"/> BankUnited, N.A.               | <input type="checkbox"/> New York Community Bank           |
| <input type="checkbox"/> BBVA USA                       | <input type="checkbox"/> Pacific Western Bank              |
| <input type="checkbox"/> BNY Mellon, N.A.               | <input type="checkbox"/> Pinnacle Bank                     |
| <input type="checkbox"/> Bryn Mawr Trust Co.            | <input type="checkbox"/> Progress Bank and Trust           |
| <input type="checkbox"/> Bremer Bank                    | <input type="checkbox"/> Sandy Spring Bank                 |
| <input type="checkbox"/> Cadence Bank, N.A.             | <input type="checkbox"/> Simmons Bank                      |
| <input type="checkbox"/> Capital One Bank (USA), N.A.   | <input type="checkbox"/> The Bank of East Asia Ltd.        |
| <input type="checkbox"/> Century Bank and Trust         | <input type="checkbox"/> Towne Bank                        |
| <input type="checkbox"/> Citibank, N.A.                 | <input type="checkbox"/> Truist Bank                       |
| <input type="checkbox"/> Deutsche Bank                  | <input type="checkbox"/> UBS Bank USA                      |
| <input type="checkbox"/> EagleBank                      | <input type="checkbox"/> Union Bank and Trust              |
| <input type="checkbox"/> Emigrant Bank                  | <input type="checkbox"/> United Fidelity Bank              |
| <input type="checkbox"/> Enterprise Bank & Trust        | <input type="checkbox"/> Valley National Bank              |
| <input type="checkbox"/> First Horizon Bank             | <input type="checkbox"/> Western Alliance Bank             |
| <input type="checkbox"/> First Republic Bank            | <input type="checkbox"/> WEX Bank                          |

Print Client Name

Client Signature

Date

Print Client Name

Client Signature

Date

Print Registered Representative Name

**This form is required *only* if you choose to eliminate a financial institution from the Insured Deposit Sweep Account.**

**Fax completed form to 888.428.9904.**

Deposits held in the Insured Deposit Sweep Account are not covered by SIPC.

