



INSURED DEPOSIT SWEEP ACCOUNT FINANCIAL INSTITUTION EXEMPTION FORM

If you wish to exclude one or more of the financial institutions listed below, you may do so by placing a checkmark next to the financial institution name, completing the information at the bottom and returning the form to Cetera Advisor Networks LLC.

Account Number

Participating Financial Institution List

- | | |
|---|--|
| <input type="checkbox"/> American Express National Bank | <input type="checkbox"/> EagleBank |
| <input type="checkbox"/> Atlantic Union Bank | <input type="checkbox"/> Enterprise Bank & Trust |
| <input type="checkbox"/> Bank of Baroda | <input type="checkbox"/> First Horizon Bank |
| <input type="checkbox"/> Banc of California | <input type="checkbox"/> Huntington National Bank |
| <input type="checkbox"/> Bank of China | <input type="checkbox"/> Morgan Stanley Bank, National Association |
| <input type="checkbox"/> Bank of India | <input type="checkbox"/> Morgan Stanley Private Bank, N.A. |
| <input type="checkbox"/> Bank of New Hampshire | <input type="checkbox"/> The Bank of East Asia Ltd. |
| <input type="checkbox"/> BankUnited, N.A. | <input type="checkbox"/> Truist Bank |
| <input type="checkbox"/> BNY Mellon, N.A. | <input type="checkbox"/> UBS Bank USA |
| <input type="checkbox"/> Bremer Bank | <input type="checkbox"/> Union Bank and Trust |
| <input type="checkbox"/> Cadence Bank | <input type="checkbox"/> United Community Bank |
| <input type="checkbox"/> Citibank, N.A. | <input type="checkbox"/> Western Alliance Bank |
| <input type="checkbox"/> Deutsche Bank | <input type="checkbox"/> WEX Bank |

Print Client Name

Client Signature

Date

Print Client Name

Client Signature

Date

Print Registered Representative Name

This form is required *only* if you choose to eliminate a financial institution from the Insured Deposit Sweep Account.

Fax completed form to 888.428.9904.

Deposits held in the Insured Deposit Sweep Account are not covered by SIPC.

Securities offered through Cetera Advisor Networks LLC (doing insurance business in CA as CFGAN Insurance Agency LLC), member FINRA/SIPC. Advisory Services offered through Cetera Investment Advisers LLC, a registered investment adviser.

